

11-26-03

Receipt



Express Mail No.: EV 335 857 962 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Bennett et al.

Confirmation No.: 6892

Application No.: 09/642,557

Group Art Unit: 1626

Filed: August 18, 2000

Examiner: Wright, S.

For: PYRAZOLOANTHRONE AND  
DERIVATIVES THEREOF AS  
JNK INHIBITORS AND  
COMPOSITIONS AND  
METHODS RELATED THERETO

Attorney Docket No.: 10624-046-999

**REQUEST FOR CORRECTION OF FILING RECEIPT (RESUBMISSION)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith a copy of a Request for Correction of Filing Receipt (the "Request"), including a copy of a Certificate of mailing, a copy of an Express Mail receipt, a clean copy of the Filing Receipt and a marked-up copy of the Filing Receipt, which were filed on March 21, 2001 in connection with the above-identified application. Also enclosed is a copy of a return-receipt postcard stamped "O I P E JC173 MAR 21 2001 PATENT & TRADEMARK OFFICE" indicating receipt of the Request and accompanying documents by the USPTO on March 21, 2001.

The filing receipt has not been corrected to date.

Accordingly, Applicants respectfully request that a corrected filing receipt be issued in connection with the above-identified application to reflect the correct filing date of **August 18, 2000** as evidenced by the copy of the Certificate of mailing and copy of the Express Mail receipt enclosed herein.

It is believed that no fee is due in connection with this resubmission; however, in the event any other fee is required, please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

*Anthony M. Insogna, Reg. No. 35,203*

Date November 25, 2003

By: *Michael J. Spumy, Reg. No. 47,458* 35,203

Anthony M. Insogna

(Reg. No.)

**PENNIE & EDMONDS** LLP

1155 Avenue of the Americas

New York, New York 10036-2711

(212) 790-9090

Express Mail No.: EL 501 638 098 US

Date Mailed: March 21, 2001

Serial No.: 09/642,557

Filed: August 16, 2000

Applicant(s): Bennett et al.

For: PYRAZOLOANTHRONE AND DERIVATIVES THEREOF AS JNK INHIBITORS AND COMPOSITIONS AND METHODS RELATED THERETO

THE STAMP OF THE PATENT OFFICE HEREON MAY BE TAKEN AS AN ACKNOWLEDGMENT OF THE DATE STAMPED OF THE FOLLOWING:

- (X) Petition for Extension of Time Under 37 CFR § 1.136(a) for three months + fee;
- (X) Response to Notice to Filing Missing Parts of Non-Provisional Application Filed Under 37 CFR § 1.53(b) with a copy of the Notice + fee;
- (X) Executed Declaration for Non-Provisional Patent Application
- (X) Power of Attorney by Assignee and Exclusion of Inventors Under 37 CFR § 3.71
- (X) Request to Establish Small Entity Status and Transmittal of Verified Statement Claiming Small Entity Status;
- (X) Verified Statement Claiming Small Entity Status - Small Business Concern;
- (X) Preliminary Amendment and copy of Petition (Exhibit A) issued in connection with application no. 09/378,234, filed August 19, 1999;
- (X) Request for Correction of Filing Receipt and a copy of (i) a Certificate of mailing filed in the present application, (ii) an Express Mail receipt for the present application, (iii) page 1 of the present application, and (iv) a Petition issued in connection with application no. 09/378,234, filed August 19, 1999.

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Express Mail No. EL 501 638 098 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Bennett et al.

Serial No.: 09/642,557

Group Art Unit: 1621

Filed: August 18, 2000

Examiner: To be Assigned

For: PYRAZOLOANTHRONE AND  
DERIVATIVES THEREOF AS JNK  
INHIBITORS AND  
COMPOSITIONS AND METHODS  
RELATED THERETO

Attorney Docket No.: 10624-046-999

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

Sir:

Enclosed is the original and one copy of the filing receipt for the present application.

Please note the errors where highlighted on the copy of the filing receipt:

The filing date is incorrect. As evidenced by the Certificate of Mailing (a copy being enclosed) and Express Mail receipt (a copy being enclosed) for the present application, the correct filing date is **August 18, 2000**.

The Applicant(s) information is incorrect. As evidenced by the Declaration filed concurrently herewith, the correct Applicant(s) information is:

**Brydon L. Bennett, San Diego, California;**

**Shripad S. Bhagwat, San Diego, California;**

**Anthony M. Manning, San Diego, California;**

**Brion W. Murray, San Diego, California;**

**Eoin C. O'Leary, San Diego, California; and**

**Yoshitaka Satoh, San Diego, California.**

The Continuing Data as claimed by Applicant is incorrect. As evidenced by the present application, page 1 (a copy being enclosed), and a petition (a copy being enclosed) granted in connection with parent application no. 09/378,234 (the "'234 application"), filed August 19, 1999, converting the '234 application to provisional application no 60/240,928, the correct data are as follows:

**This application claims the benefit of provisional application no. 60/240,928, filed August 19, 1999.**

Please correct these errors as soon as possible.

To the extent that these errors are on the part of the Patent Office, no fee should be charged to us. However, should the Patent Office determine otherwise, please charge the necessary fee to Pennie & Edmonds LLP Deposit Account no. 16-1150. A duplicate of this sheet is enclosed.

Respectfully submitted,

*Anthony M. Insogna, N.Y. No. 35,203*  
*By Matthew E. Langer, N.Y. No. 36,343*  
35,203  
Anthony M. Insogna (Reg. No.)

Date: March 21, 2001

**PENNIE & EDMONDS LLP**  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/642,557	08/10/2000 05/18/2000	4621	0	860098.436	7	24	3

Karl R Hermanns  
Seed Intellectual Property Law Group PLLC  
701 Fifth Avenue  
Suite 6300  
Seattle, WA 98104-7092

## FILING RECEIPT



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Date Mailed: 10/30/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Brydon L. Bennett et. al., Residence Not Provided;

→ Brydon L. Bennett, San Diego, CA;  
Shripad S. Bhagwat, San Diego, CA;  
Anthony M. Manning, San Diego, CA;  
Brion W. Murray, San Diego, CA;  
Eoin C. O'Leary, San Diego, CA;  
Yoshitaka Satoh, San Diego, CA.

## Continuing Data as Claimed by Applicant

This application claims the benefit of  
Foreign Applications provisional application no.  
60/240,928, filed August 19, 1999.

If Required, Foreign Filing License Granted 10/30/2000

## Title

Pyrzoloanthrone and derivatives thereof as JNK inhibitors and compositions and methods related thereto

## Preliminary Class

548

Data entry by : HARRISON, BRUCE

Team : OIPE

Date: 10/30/2000





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
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Washington, DC 20231





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicants : Brydon L. Bennett; Shripad S. Bhagwat; Anthony M. Manning;  
Brion W. Murray; Eoin C. O'Leary; Yoshitaka Satoh  
Filed : August 18, 2000  
For : PYRAZOLOANTHRONE AND DERIVATIVES THEREOF AS  
JNK INHIBITORS AND COMPOSITIONS AND METHODS  
RELATED THERETO

Docket No. : 860098.436  
Date : August 18, 2000


Box Patent Application  
Assistant Commissioner for Patents  
Washington, DC 20231

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Assistant Commissioner for Patents:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL487464460US, on August 18, 2000, addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC

  
Steve Plante

KRH:lm.

Enclosures:  
Postcard  
Form PTO/SB/05  
Specification, Claims, Abstract (62 pages)  
7 Sheets of Drawings (Figures 1-5)

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